

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P25369

Total Pages

Inventor(s) or Application Identifier: Raimund SCHALLER

Title: PROPHYLACTIC ARTICLE

ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

## APPLICATION ELEMENTS

## ACCOMPANYING APPLICATION PARTS

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status  
See 37 CFR 1.27  
a. ☐ Small Entity Statement(s)
3. ☒ Specification [Total Pages: **42**]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: **3**]
5. ☒ Oath or Declaration [Total Pages: **3**]
  - a. ☐ Newly executed (original or copy) ☒ Unexecuted
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 20 completed)  
[Note Box 6 below]
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Incorporation By Reference (useable if Box 5b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)

9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
14. ☒ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
16. ☒ Figure of Drawing to be published: **2**
17. ☒ Foreign priority claimed
  - a. ☒ Claim of Priority
  - b. ☒ Certified Copy of Priority Document(s)
18. ☐ Nonpublication Request under 35 USC 122(b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
19. ☒ Assignee: SEMPERIT AKTIENGESSELLSCHAFT HOLDING  
of Wien, Austria
20. ☒ Other: Cover Letter Under 37 C.F.R. 1.53(b) and 1.53(f)

21. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No. , filed .


Prior application information: Examiner: Group Art Unit:

Address all future correspondence to **Customer No. 7055** at the present address of:

**GREENBLUM & BERNSTEIN, P.L.C.**  
1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

5/20/04

Date

  
Signature  
Reg. No. 33,094  
**Neil F. Greenblum, Reg. No. 28,394**  
Typed or Printed Name

## FEE TRANSMITTAL

## Complete if Known

|                     |                       |
|---------------------|-----------------------|
| Application Number  | Not Yet Assigned      |
| Filing Date         | Concurrently Herewith |
| First Name Inventor | Raimund SCHALLER      |
| Group Art Unit      | Unassigned            |
| Examiner Name       | Unassigned            |

TOTAL AMOUNT OF PAYMENT (\$ ) 1,346.00

Attorney Docket Number P25369

## METHOD OF PAYMENT (check one)

1. ☒ The U.S. Patent and Trademark Office is hereby authorized to charged indicated fees and credit any overpayments to:

Deposit Account No.: 19-0089

Deposit Account Name: GREENBLUM &amp; BERNSTEIN, P.L.C.

☒ Charge any additional fee required under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))

☐ Charge the Issue Fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, 37 CFR 1.311 (b)

☐ Applicant claims Small Entity Status, See 37 CFR 1.27

2. ☒ Payment Enclosed;  
☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION (fees effective 10/01/03)

## 1. FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001           | 770             | 2001           | 385             | Utility filing fee     | 770.00   |
| 1002           | 340             | 2002           | 170             | Design filing fee      |          |
| 1003           | 530             | 2003           | 265             | Plant filing fee       |          |
| 1004           | 770             | 2004           | 385             | Reissue filing fee     |          |
| 1005           | 160             | 2005           | 80              | Provisional filing fee |          |

SUBTOTAL (1) (\$) 770.00

## 2. CLAIMS

|                           | Extra   | Fee from below | Fee Paid |
|---------------------------|---------|----------------|----------|
| Total Claims: 52          | -20= 32 | x 18           | = 576.00 |
| Independent Claims: 2     | -3= 0   | x 86           | = 0.00   |
| Multiple Dependent Claims | 0       | x 290          | = 0.00   |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 1202           | 18              | 2202           | 9               | Claims in excess of 20                                  |
| 1201           | 86              | 2201           | 43              | Independent claims in excess of 3                       |
| 1203           | 290             | 2203           | 145             | Multiple dependent claims                               |
| 1204           | 86              | 2204           | 43              | Reissue independent claims over original patent         |
| 1205           | 18              | 2205           | 9               | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 576.00

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 1051           | 130             | 2051           | 65              | Surcharge - late filing fee or oath  |          |
| 1052           | 50              | 252            | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053           | 130             | 1053           | 130             | Non-English specification  |          |
| 1812           | 2,520           | 1812           | 2,520           | For filing a request for re-examination                                    |          |
| 1804           | 920*            | 1804           | 920*            | Requesting publication of SIR Prior to Examiner action                     |          |
| 1805           | 1,840*          | 1805           | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 1251           | 110             | 2251           | 55              | Extension for response within 1 <sup>st</sup> month                        |          |
| 1252           | 420             | 2252           | 210             | Extension for response within 2 <sup>nd</sup> month                        |          |
| 1253           | 950             | 2253           | 475             | Extension for response within 3 <sup>rd</sup> month                        |          |
| 1254           | 1,480           | 2254           | 740             | Extension for response within 4 <sup>th</sup> month                        |          |
| 1255           | 2,010           | 2255           | 1,005           | Extension for response within 5 <sup>th</sup> month                        |          |
| 1401           | 330             | 2401           | 165             | Notice of Appeal   |          |
| 1402           | 330             | 2402           | 165             | Filing a brief in support of an appeal                                     |          |
| 1403           | 290             | 2403           | 145             | Request for oral hearing   |          |
| 1451           | 1,510           | 1451           | 1,510           | Petition to institute a public use proceeding                              |          |
| 1452           | 110             | 2452           | 55              | Petition to revive unaviodably abandoned application                       |          |
| 1453           | 1,330           | 2453           | 665             | Petition to revive unintentionally abandoned application                   |          |
| 1501           | 1,330           | 2501           | 665             | Utility issue fee (or reissue)   |          |
| 1502           | 480             | 2502           | 240             | Design issue fee   |          |
| 1503           | 640             | 2503           | 320             | Plant issue fee  |          |
| 1460           | 130             | 1460           | 130             | Petitions to the Commissioner  |          |
| 1807           | 50              | 1807           | 50              | Petitions related to provisional applications                              |          |
| 1806           | 180             | 1806           | 180             | Submission of IDS  |          |
| 8021           | 40              | 8021           | 40              | Recording each patent assignment per property (times number of properties) |          |
| 1809           | 770             | 2809           | 385             | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810           | 770             | 2810           | 385             | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801           | 770             | 2801           | 385             | Request for Continued Examination (RCE)                                    |          |
| 1802           | 900             | 1802           | 900             | Request for expedited examination of a design application                  |          |

Other fee (specify):

Other fee (specify):

SUBTOTAL (3) (\$) 0.00

\*Reduced by Basic Filing Fee paid

## SUBMITTED BY

Typed or Printed Name Neil F. Greenblum

Signature

Date

5/20/04

## Complete (if applicable)

Reg. Number

28,394

Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Raimund SCHALLER  
Appl. No: : Not Yet Assigned  
Filed : Concurrently Herewith  
For : PROPHYLACTIC ARTICLE

**COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION  
FILED UNDER 37 C.F.R. 1.53(b) AND 1.53(f)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b) and 1.53(f) in which the Declaration and Power of Attorney attached hereto are in unexecuted form. A properly executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to the above, this application should be associated with Customer Number 7055, which includes the present correspondence address as follows:

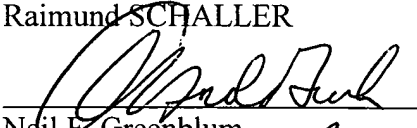
GREENBLUM & BERNSTEIN P.L.C.  
1950 Roland Clarke Place  
Reston, VA 20191

The above-identified application includes:

- 43 pages of specification with abstract;
- 3 sheets of drawings with 7 figures;
- 52 total claims with 2 independent;
- an unexecuted Declaration and Power of Attorney.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Raimund SCHALLER

  
\_\_\_\_\_  
Neil F. Greenblum  
Reg. No. 28,394

*Reg. No. 33,097*

May 20, 2004  
GREENBLUM & BERNSTEIN, P.L.C.  
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